

1 Title: Performance evaluation of a newly designed on-farm blood testing system for  
2 determining blood non-esterified fatty acid and  $\beta$ -hydroxybutyrate concentrations in dairy  
3 cows

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5 Authors: Rika Fukumori<sup>a</sup>, Takayuki Taguchi<sup>b</sup>, Garrett R. Oetzel<sup>c</sup>, Shin Oikawa<sup>a\*</sup>

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7 <sup>a</sup>Department of Veterinary Herd Health, School of Veterinary Medicine, Rakuno Gakuen  
8 University, 582 Bunkyo-dai-Midorimachi, Ebetsu, Hokkaido 069-8501, Japan.

9 <sup>b</sup>I. B. Co., Ltd., 2-11-4 Ginza, Chuo-ku, Tokyo 104-006, Japan.

10 <sup>c</sup>Department of Medical Science, School of Veterinary Medicine, University of  
11 Wisconsin, 2015 Linden Drive, Madison, WI 53706, USA

12

13 \*Corresponding author at: 582 Bunkyo-dai-Midorimachi, Ebetsu, Hokkaido 069-8501,  
14 Japan.

15 E-mail address: [oishin@rakuno.ac.jp](mailto:oishin@rakuno.ac.jp) (S. Oikawa)

16

17 ABSTRACT

18 The objective of this study was to evaluate a newly designed on-farm blood testing system  
19 (OFBTS) for monitoring blood concentrations of non-esterified fatty acids (NEFA) and  $\beta$ -  
20 hydroxybutyrate (BHBA) in dairy cows. Whole blood samples from 230 Holstein dairy  
21 cows between -86 and 343 days in milk were collected into heparinized tubes. A drop of  
22 whole blood was used to determine NEFA and BHBA using the OFBTS. Plasma from the  
23 remainder of the blood was used to determine both analytes using a commercial kit (gold  
24 standard). In the repeatability of the OFBTS, the intra-assay coefficients of variation for  
25 NEFA and BHBA were 1.3% and 4.5%, and the inter-assay coefficients of variation were  
26 1.8% and 2.9%, respectively. The slope and coefficient of determination of OFBTS  
27 analysis of NEFA compared to the gold standard were 0.92 and 0.94. Those for BHBA  
28 were 0.94 and 0.98. The biases (mean of the difference between the gold standard  
29 laboratory assays and OFBTS) of NEFA and BHBA were 0.021 and 0.019, respectively.  
30 However, the bias became substantial for NEFA in the higher concentration ranges (>1.2  
31 mEq/L). The sensitivity and specificity of NEFA at a cutpoint of 0.4 mEq/L were 93.2%  
32 and 99.4%. Increasing the NEFA cutpoint to 0.6 mEq/L changed them to 87.9% and  
33 100%. The sensitivity and specificity of BHBA at a cutpoint of 1.0 mM at a cutpoint of  
34 1.0 were 86.2% and 99.0% and those of 1.2 mM were 94.7% and 99.5%. The reaction  
35 time for the NEFA to reach 0.6 mEq/L was 7 minutes. The BHBA reaction reached 1.2  
36 mM within 2 minutes. In conclusion, the OFBTS has excellent performance for detecting  
37 cows with high blood NEFA or BHBA concentrations and could be a useful tool for on-  
38 farm monitoring of cows with negative energy balance and/or ketosis.

39

40 Keywords: dairy cow, non-esterified fatty acids,  $\beta$ -hydroxybutyrate, on-farm blood testing

41

## 42 **1. Introduction**

43           Crucial physiological and metabolic adaptations occur in dairy cows during the  
44 transition period from late gestation to early lactation. Most dairy cows experience  
45 negative energy balance (NEB) after calving. Ketosis, which is practically quantified as  
46 hyperketonemia (HYK), occurs when moderate to severe NEB is accompanied by  
47 impaired carbohydrate supply (Grummer, 1993). This combination leads to incomplete  $\beta$ -  
48 oxidation of mobilized fatty acids and the formation of ketone bodies. Excessive NEB and  
49 HYK after calving are both associated with negative health and production outcomes  
50 (Kehrli et al., 1989; Hammon et al., 2006; Ospina et al., 2010, Ospina et al., 2013). A  
51 smaller proportion of prepartum dairy cows experience NEB; however, when it does occur  
52 it is very strongly associated with negative health outcomes postpartum (Ospina et al.,  
53 2013). A recent epidemiological study (Macrae et al., 2019) reported that 12.8% of cows  
54 had high concentration of serum BHBA ( $\geq 0.8$  mM) in the last 10 days of pregnancy.  
55 Blood ketone concentrations determined prior to calving are not highly predictive of  
56 postpartum problems (Chapinal et al., 2011, Ospina et al., 2013).

57           The presence and degree of NEB can be determined by measuring blood  
58 concentrations of non-esterified fatty acids (NEFA). Animal handling and diet can affect  
59 blood NEFA results (Leroy et al., 2011). Elevated blood NEFA concentrations, either  
60 before or after calving, are associated with numerous negative health outcomes. For  
61 postpartum cows, blood NEFA was more predictive of negative outcomes than blood  
62 ketones (Ospina et al., 2013).

63           Handheld BHBA meters have recently made it practical to conduct large-scale, on-  
64 farm testing for HYK. The performance and usefulness of handheld BHBA meters has  
65 been substantiated repeatedly (Iwersen et al., 2013; Kanz et al., 2015; Pineda and Cardoso,  
66 2015; Süß et al., 2016). The use of handheld BHBA meters has allowed for determination

67 of the prevalence of hyperketonemia in postpartum cows in several different regions of the  
68 world (Suthar et al., 2013; Mahrt et al., 2015; McArt et al., 2012). Furthermore, the use of  
69 on-farm blood BHBA testing facilitates early detection and early treatment of HYK,  
70 which has very favorable impacts on disease risk, milk yield, and culling risk (McArt et  
71 al., 2012). The most widely-used cutpoint for defining HYK is blood BHBA  $\geq$  1.2 mM.

72 Blood NEFA testing has been described for use in both pre- and postpartum dairy  
73 cows. Unfortunately, on-farm blood NEFA testing is currently impractical because it is  
74 limited to laboratory assays of serum or plasma (Ospina et al., 2013). For a blood NEFA  
75 test to be suitable for on-farm use, it should use whole blood as the test medium (thus  
76 eliminating the need for on-farm blood centrifugation followed by separation of the  
77 plasma or serum) and also not require pipetting of liquid reagents. To our knowledge, no  
78 test system currently exists that meets these requirements. We therefore designed an on-  
79 farm blood testing system (OFBTS) that uses dry chemistry reagents, does not require  
80 centrifugation of the blood sample, and simultaneously determines NEFA and BHBA  
81 concentrations. The objective of this study was to evaluate the performance of the OFBTS  
82 (using whole blood samples) compared to the gold standard laboratory assay using plasma  
83 samples for the determination of NEFA and BHBA.

84

## 85 **2. Materials and Methods**

### 86 *2.1 Animals and sample collection*

87 Holstein cows on five commercial dairy farms in Hokkaido, Japan, were used in this  
88 study. Herd size ranged from approximately 50 to 300 cows. Animals were housed in  
89 freestall barns with rubber mats over concrete floors. Animal care on the farms followed  
90 the Laboratory Animal Control Guidelines of Rakuno Gakuen University, which

91 essentially conforms to the guide for the Care and Use of Laboratory Animals of the  
92 National Institutes of Health in the United States (1996).

93 In December 2018, coccygeal blood samples were obtained from 230 cows (-86 to  
94 343 days in milk, average parity  $2.4 \pm 1.4$ ) and classified into 4 stages (Table 1). The whole  
95 blood was immediately placed in a heparinized tube. The samples were refrigerated until  
96 assayed. Tests using both the OFBTS and the laboratory biochemical analyzer were  
97 conducted within 3 h to avoid hydrolysis of esterified fats to NEFA (Stokol and Nydam,  
98 2005).

## 99 *2.2 Sample analysis*

100 The OFBTS was developed by modifying a point-of-care testing device designed  
101 for human use (BBx; Teramecs, Co., Ltd., Kyoto, Japan). The device was relatively small  
102 (205 mm long, 126 mm wide, and 110 mm high) and lightweight (0.8 kg). The assay was  
103 performed in a disposable test slide containing the dry chemistry reagents for NEFA and  
104 BHBA determination. The test procedure consisted of placing a drop of whole blood  
105 (approximately 80  $\mu$ L) on the cartridge and then inserting the test slide into the device.  
106 The cartridge separates plasma from whole blood sample and transports the plasma to  
107 enzymatic reaction tanks for NEFA and BHBA determinations.

108 The principle for NEFA determination was treatment with acyl-CoA synthetase in  
109 the presence of ATP and CoA. Thiol esters of CoA then form as acyl-CoA, along with the  
110 byproducts adenosine monophosphate and pyrophosphate. In the second portion of the  
111 procedure, the acyl-CoA is oxidized by adding acyl-CoA oxidase to produce trans-2,3-  
112 dehydroacyl-CoA and hydrogen peroxide. In the presence of added peroxidase, hydrogen  
113 peroxide converts leuco dye to methylene blue, which is measured at 630 nm (dominant  
114 wavelength) and 810 nm (complementary wavelength).

115 The principle for BHBA determination was oxidation of D-3-hydroxybutyrate in  
116 plasma by nicotinamide adenine dinucleotide (NAD) to acetoacetate in the presence of the  
117 enzyme 3-hydroxybutyrate dehydrogenase. In the presence of diaphorase, NADH converts  
118 tetrazolium salt to a formazan that is measured at 630 nm and 810 nm.

119 The OFBTS recorded absorbance every 10 seconds, from 10 to 600 seconds. We  
120 adopted absorbance at 600 seconds and converted it into concentration using preliminary  
121 calibration curves.

122 In the present study, all measurements by the OFBTS were conducted in our  
123 laboratory at room temperature. After a drop of heparinized blood was used for analysis in  
124 the OFBTS, the remaining whole blood sample was centrifuged and the plasma was  
125 harvested for NEFA and BHBA determinations (the gold standard assays). Commercial  
126 kits (NEFA-HRII Wako test kit; Wako Pure Chemical Industries, Osaka, Japan and 3-  
127 hydroxy butyrate assay kit; Serotec Co., Ltd., Hokkaido, Japan) were used in an automated  
128 biochemistry analyzer (CA-90; Furuno Electric Co., Ltd., Hyogo, Japan). These assays  
129 used the same biochemical principles as for the OFBTS.

130 Precision of the OFBTS was evaluated by determining the intra- and inter-assay  
131 coefficient of variation (CV). Two samples were used for this evaluation; these samples  
132 had 0.47 and 1.03 mEq/L NEFA and 1.21 and 3.66 mM BHBA concentrations. The intra-  
133 assay CV was evaluated by repeating the NEFA and BHBA measurements 18 times. Inter-  
134 assay CV was evaluated by measuring the same sample 6 times with 3 devices. Accuracy  
135 of the OFBTS was evaluated by comparing its results to the gold standard laboratory  
136 assays for all 230 samples collected.

### 137 *2.3 Statistical analysis*

138 Precision of the OFBTS was evaluated by determining the intra-assay CV using  
139 JMP (version 13 for Windows, SAS Institute Inc., Cary, NC, USA).

140 The assumption of a linear relationship between results of OFBTS and gold standard  
141 laboratory assays was evaluated by visually inspecting scatterplots of OFBTS versus gold  
142 standard laboratory assay results (Figure 1). Simple linear regression with JMP (version  
143 13 for Windows, SAS Institute Inc., Cary, NC, USA) was then used to determine the slope  
144 of the regression line and the coefficient of determination ( $R^2$ ) for the regression.

145 The sensitivity, specificity, positive predictive value, and negative predictive value  
146 of the OFBTS compared to the gold standard laboratory assays were determined using  
147 JMP (version 13 for Windows, SAS Institute Inc., Cary, NC, USA). Two cut-points for  
148 NEFA (0.4 and 0.6 mEq/L) and for BHBA (1.0 and 1.2 mM) were evaluated. These cut-  
149 points were derived previously (Oetzel et al., 2004; Ospina et al., 2010, Ospina et al.,  
150 2013, Whitaker et al., 1983).

151

### 152 **3. Results and Discussion**

153 The proportions of samples at or above the cut-points for NEFA and BHBA are  
154 presented in Table 1. The proportions of cows with high NEFA and high BHBA values  
155 were consistent with the results of other published studies. In the present study 13.2% of  
156 prepartum cows above the NEFA prepartum cut-point of 0.4 mEq/L. Cook et al. (2006)  
157 suggested an alarm level of 10% for prepartum cows with NEFA greater than 0.4 mEq/L.  
158 Ospina et al. (2010) suggested a postpartum NEFA cut-point of 0.6 mEq/L but did not  
159 propose a cut-point for the proportion of cows above this cut-point. In the present study,  
160 35.4% of the postpartum cows (0 to 30 days in milk) had blood NEFA > 0.6 mEq/L. This  
161 was very close to the 32% prevalence of elevated blood NEFA in postpartum cows  
162 reported by Ospina et al. (2013).

163 In the current study, the prevalence of HYK (BHBA  $\geq$  1.0 or 1.2 mM) was 19.8%  
164 and 13.5%, respectively, in postpartum cows (0 to 30 days in milk). Reported values for

Table 1
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165 the herd prevalence of HYK in early lactation cows include 4.2 to 9.7% (Iwersen et al.,  
166 2009), 11.6 to 36.6% (Suthar et al., 2013), and 10.4% (Süss et al., 2016). The prevalence  
167 of blood BHBA  $\geq 0.8$  mM was 7.9% in prepartum cows. This result was a little lower  
168 compared to prevalence of 12.8% reported by Macrae et al. (2019). The intra-assay CV for  
169 NEFA and BHBA were 1.3% and 4.5%, and the inter-assay CV were 1.8% and 2.9%,  
170 respectively. These results indicate very good precision (repeatability) of the OFBTS.

171 The relationship between OFBTS and gold standard laboratory assays for NEFA did  
172 not appear to be linear throughout the range of values (Figure 1A). A plateau in results  
173 from the OFBTS was evident at higher concentrations of NEFA ( $>1.2$  mEq/L). Removing  
174 the 13 NEFA values over 1.2 mEq/L from the dataset (5.7% of the cows) resulted in a  
175 strongly linear appearance of the relationship between the OFBTS and gold standard  
176 laboratory assays for NEFA.

Figure 1

177 When all NEFA values were included in the regression analysis, the slope of the  
178 regression line was 0.76 and the  $R^2$  was 0.92 ( $P < 0.0001$ ). After removal of the very high  
179 NEFA values, the slope of the regression line changed to 0.92 (closer to the desired 1.00)  
180 and the  $R^2$  increased to 0.94 ( $P < 0.0001$ , Figure 1B). These results indicate excellent  
181 accuracy of the OFBTS for blood NEFA concentrations below 1.2 mEq/L.

182 The underestimation of very high NEFA values by the OFBTS could be explained  
183 by insufficient influx of oxygen into the cartridge to entirely oxidize the NEFA at very  
184 high concentrations. Future research is needed to verify the oxidative reaction in the  
185 cartridge at high concentrations. Nonetheless, NEFA testing using the OFBTS remains  
186 applicable even if very high values are underestimated. Any NEFA concentration over 0.4  
187 to 0.6 mEq/L is classified as high; therefore, the few cows with very high NEFA  
188 concentrations were still correctly classified by the OFBTS.

189 The relationship between OFBTS and gold standard laboratory assays for BHBA  
190 appeared to be strongly linear throughout the range of values (Figure 1C). The slope of the  
191 regression line was 0.94 and the  $R^2$  was 0.98 ( $P < 0.0001$ ). These results indicate excellent  
192 accuracy of the OFBTS for whole blood BHBA determination.

193 Bland-Altman plots that visualize the differences between OFBTS and gold standard  
194 laboratory assays across the range of results for NEFA and BHBA are presented in Figure  
195 2. The bias, SD, and 95% limits of agreement ( $\pm 1.96$  SD) for the difference between the  
196 OFBTS and the gold standard laboratory assays for NEFA were 0.02, 0.12, 0.27, and -0.22  
197 mEq/L (Figure 2A), and for BHBA were 0.02, 0.09, 0.19, and -0.15 mM (Figure 2B). The  
198 biases (mean of the difference between the gold standard laboratory assays and OFBTS)  
199 of NEFA and BHBA were very close to 0 and their limits of agreement were also very  
200 small. These results indicated very good consistency between the OFBTS and gold  
201 standard laboratory assays. Kanz et al. (2015) reported less consistency between three  
202 different cowside BHBA meters and the gold standard laboratory assay (mean biases of  
203 0.02, -0.10, and -0.06 and SD of the differences of 0.12, 0.21, and 0.17). The OFBTS is  
204 not a cowside test and takes considerably longer to run than cowside BHBA tests. As  
205 expected, however, the OFBTS delivers more consistent results than cowside BHBA tests.  
206 No on-farm NEFA tests are available that can be compared to the OFBTS.

207 The OFBTS was 93.2% sensitive and 99.4% specific for classifying cows with  
208 elevated NEFA at the 0.4 mEq/L cutpoint. At the 0.6 mEq/L cutpoint the OFBTS was  
209 87.9% sensitive and 100% specific (see Table 2). These results demonstrate the excellent  
210 value of the OFBTS for identifying cows with high NEFA concentrations. As previously  
211 noted, the tendency of the OFBTS to underestimate very high blood NEFA concentrations  
212 did not limit its value.

Figure 2

Figure 2

Table 2

213 The OFBTS was 94.7% sensitive and 99.5% specific for classifying cows with  
214 elevated BHBA at a cut-point of 1.2 mM. At the 1.0 mM cutpoint the OFBTS was 86.2%  
215 and 99.0% (Table 2). These results demonstrate the excellent value of the OFBTS for  
216 identifying cows with high BHBA concentrations. Three cowside meters for determining  
217 BHBA (Kanz et al., 2015) had similar reported sensitivities (100%, 94%, and 100%) but  
218 lower specificities (93%, 85%, and 83%) compared to the OFBTS.

219 The time courses of absorbance for 4 representative levels of NEFA and BHBA  
220 (between 10 and 600 seconds) are shown in Figure 3. The reaction for BHBA proceeded  
221 rapidly and the absorbance values were clearly separated according to the difference in  
222 concentration (Figure 3B). In contrast, the NEFA reaction proceeded slowly and required  
223 more time to detect differences in absorbance at each level (Figure 3A). The times  
224 required for the reaction to determine the difference around the cutpoint concentrations  
225 were 7 min for NEFA and 2 min for BHBA. These results indicate that the OFBTS is  
226 capable of conducting simultaneous NEFA and BHBA assays within a reasonable time  
227 period for on-farm use.

Figure 3

#### 229 **4. Conclusions and future work**

230 Evaluating the degree of NEB and HYK can be an important tool for herd-level  
231 monitoring and for cow-level early detection and treatment. In particular, diagnosis of  
232 NEB using NEFA during late gestation could be more effective than waiting for HYK to  
233 develop after calving. To our knowledge, we have developed the first on-farm blood  
234 NEFA assay system that has excellent precision and accuracy up to 1.2 mEq/L. This  
235 system also measures blood BHBA with similar or greater precision and accuracy than  
236 currently available cowside blood BHBA devices. Combining blood NEFA and BHBA

237 testing within a single device allows for more thorough and complete evaluation of a  
238 cow's metabolic condition before and after calving.

### 239 **Declaration of Competing Interest**

240 The author Takayuki Taguchi works as a director of laboratory of I. B. Co., Ltd.

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311 **Figure legends**

312 Figure 1

313 Correlation coefficients between blood concentrations measured by the newly developed  
314 system (OFBTS) and the plasma concentrations (gold standard laboratory assay) for  
315 NEFA (A, B) and BHBA (C). Two hundred thirty samples are plotted with open circles. A  
316 and C plot all data. B plots below 1.2 mEq/L. The solid line shows the line of equality  
317 ( $y=x$ ), and the dotted line shows the linear regression line.

318

319 Figure 2

320 Bland-Altman plots with mean bias and 95% limits of agreement for NEFA (A) and  
321 BHBA (B) measured with the gold standard laboratory assay and the on-farm blood  
322 testing system (OFBTS).

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324 Figure 3

325 The time course absorbance of whole blood samples from different concentrations of  
326 NEFA (A) and BHBA (B) determined using the newly designed on-farm test system  
327 (OFBTS).

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Table 1. Proportions of blood samples from cows in each stage with NEFA  $\geq 0.4$  and  $0.6$  mEq/L or BHBA  $\geq 1.0$  and  $1.2$  mM

Stage*	n	Number of positive samples/total (%)			
		NEFA		BHBA	
		0.4 mEq/L	0.6 mEq/L	1.0 mM	1.2 mM
Prepartum	38	5/38 (13.2%)	1/38 (2.6%)	1/38 (2.6%)	0/38 (0.0%)
Postpartum 1	96	49/96 (51.0%)	34/96 (35.4%)	19/96 (19.8%)	13/96 (13.5%)
Postpartum 2	46	5/46 (10.9%)	1/46 (2.2%)	5/46 (10.9%)	3/46 (6.5%)
Postpartum 3	50	1/50 (2.0%)	0/50 (0.0%)	4/50 (8.0 %)	3/50 (6.0%)
Total	230	60/230 (26.1%)	36/230 (15.7%)	29/230 (12.6%)	19/230 (8.3%)

\*Postpartum 1; 0-30 days in milk, Postpartum 2; = 31-60 days in milk, Postpartum 3;  $\geq 61$  days in milk

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Table 2. Performance of the newly developed device (NDS) for classification of a cow at blood NEFA concentrations of  $0.4$  and  $0.6$  mEq/L, and blood BHBA concentration of  $1.0$  and  $1.2$  mM compared with plasma concentrations measured by the gold standard assay (n = 230 samples)

Item	Cut-off	Sensitivity, %	Specificity, %	Positive Predictive Value, %	Negative Predictive Value, %
NEFA	0.4 mEq/L	93.2	99.4	98.2	97.7
	0.6 mEq/L	87.9	100	100	98.0
BHBA	1.0 mM	86.2	99.0	92.6	98.0
	1.2 mM	94.7	99.5	94.7	99.5

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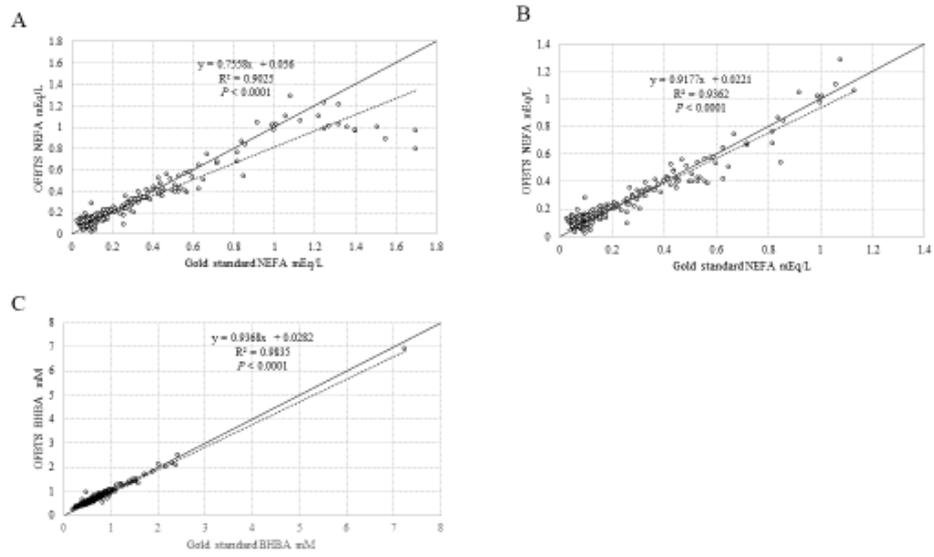
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Fig. 1



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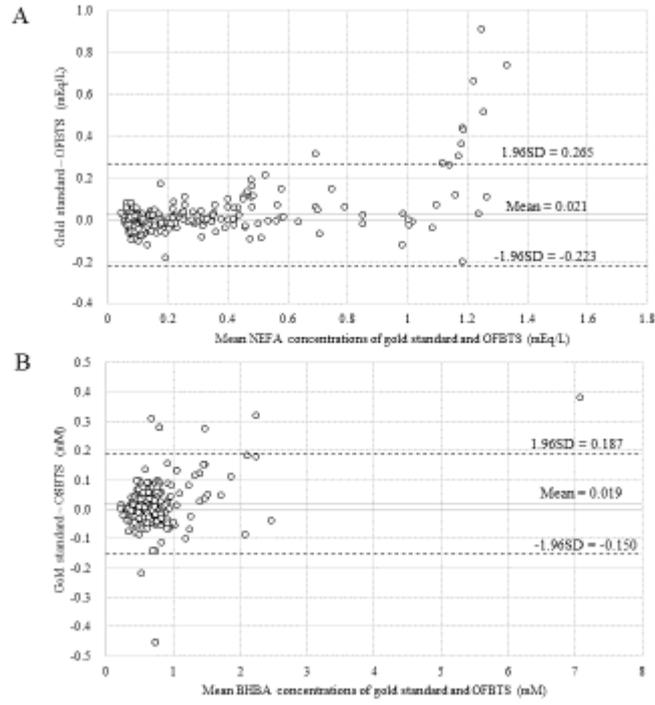
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Fig. 2



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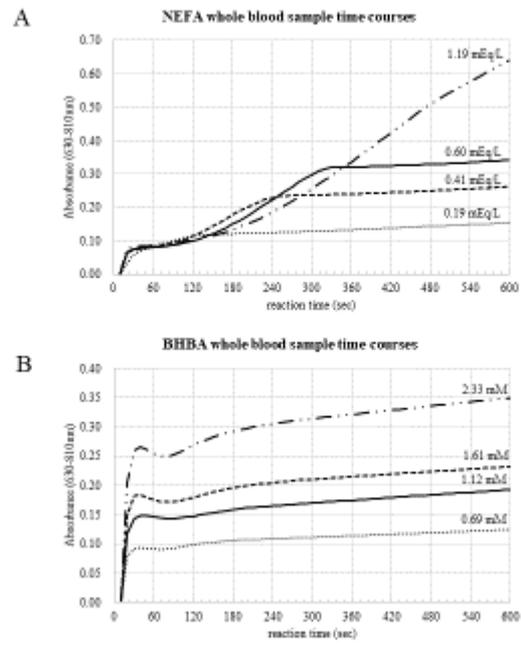
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Fig. 3



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